



# Forest Carbon Partnership Facility

## Background on M&E, and FCPF Process for Submitting MTR

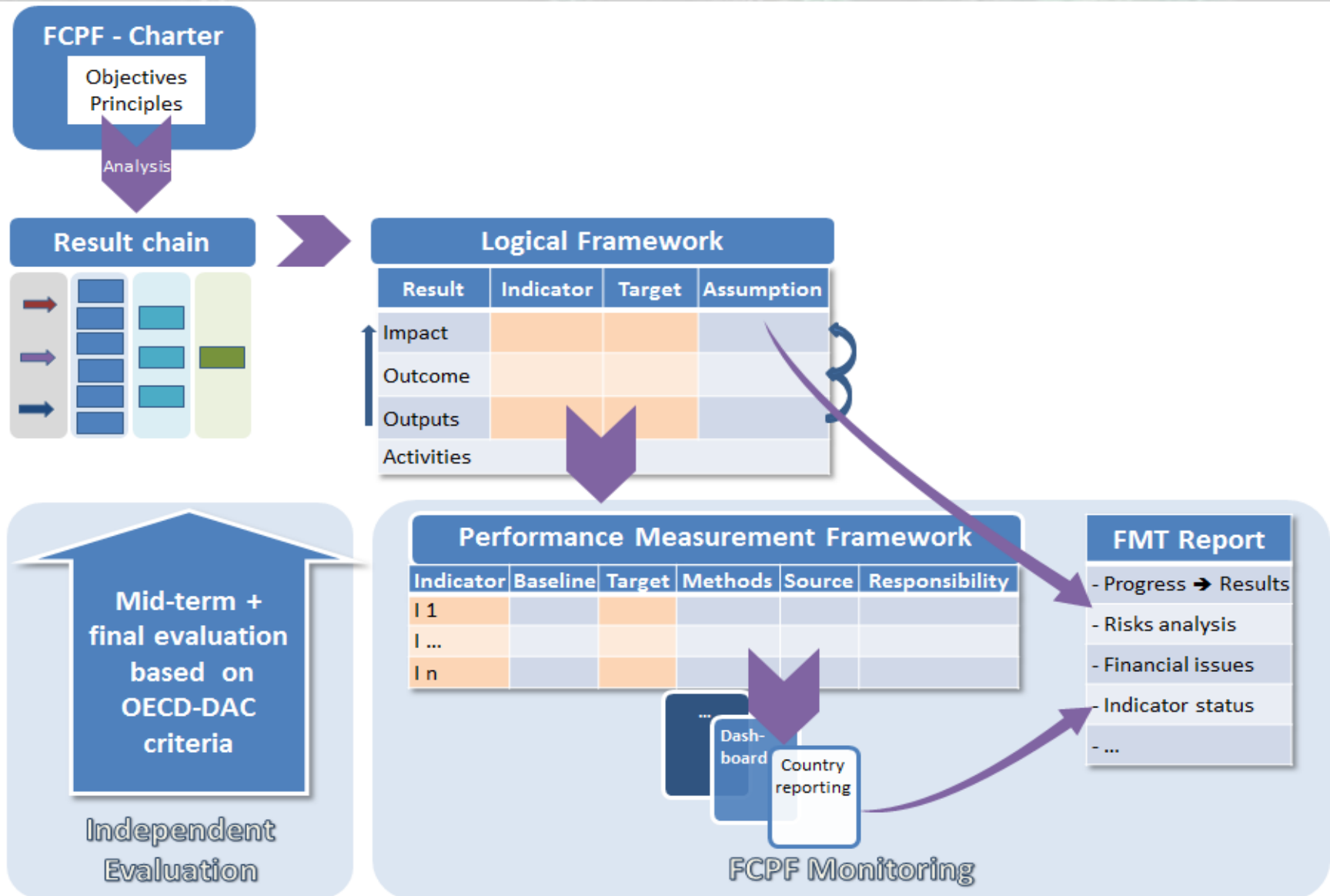
**Rajesh Koirala**  
**The World Bank**

Multistakeholder Consultation Workshop  
Kathamandu,  
July 30, 2013

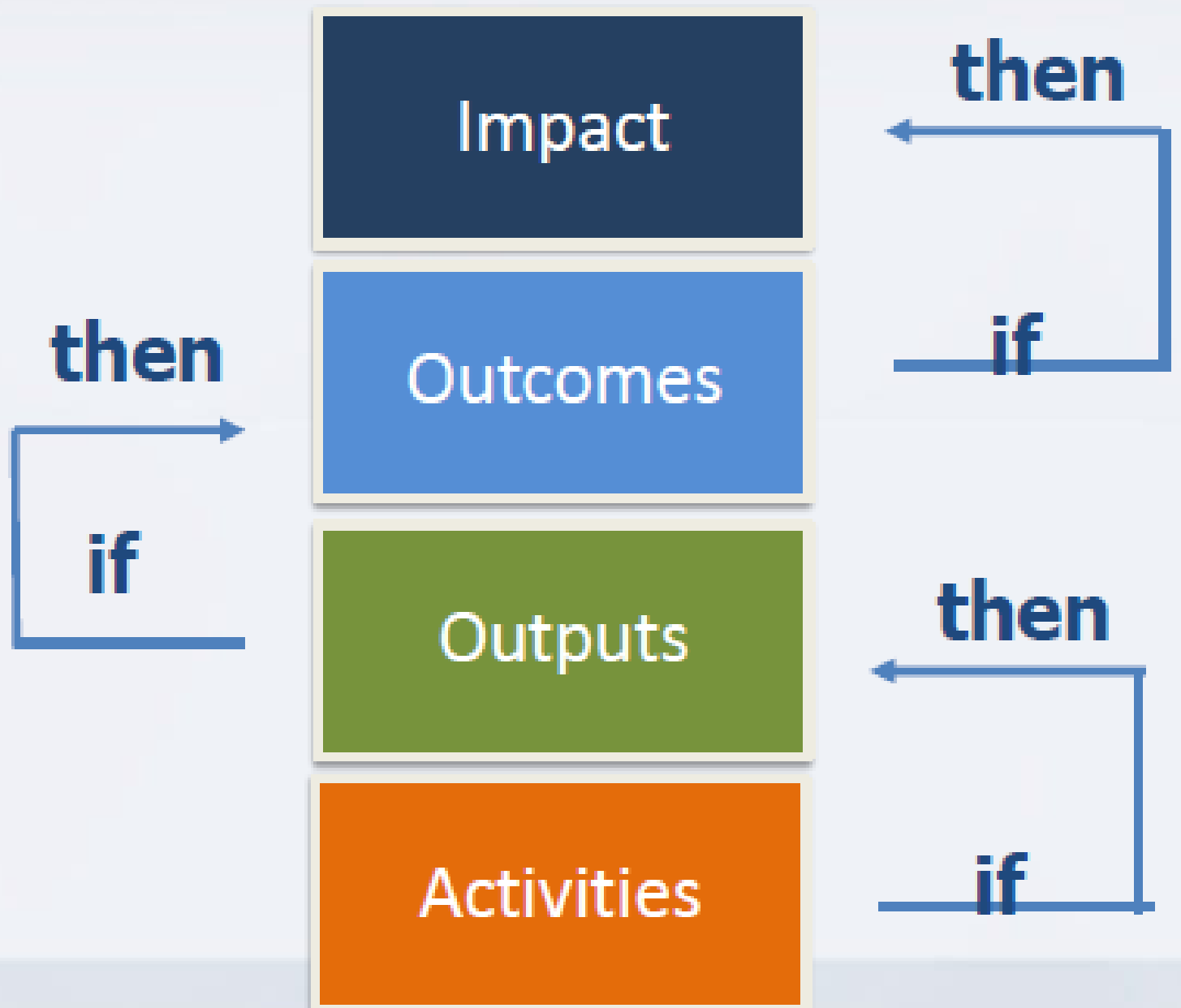
# Presentation Outline

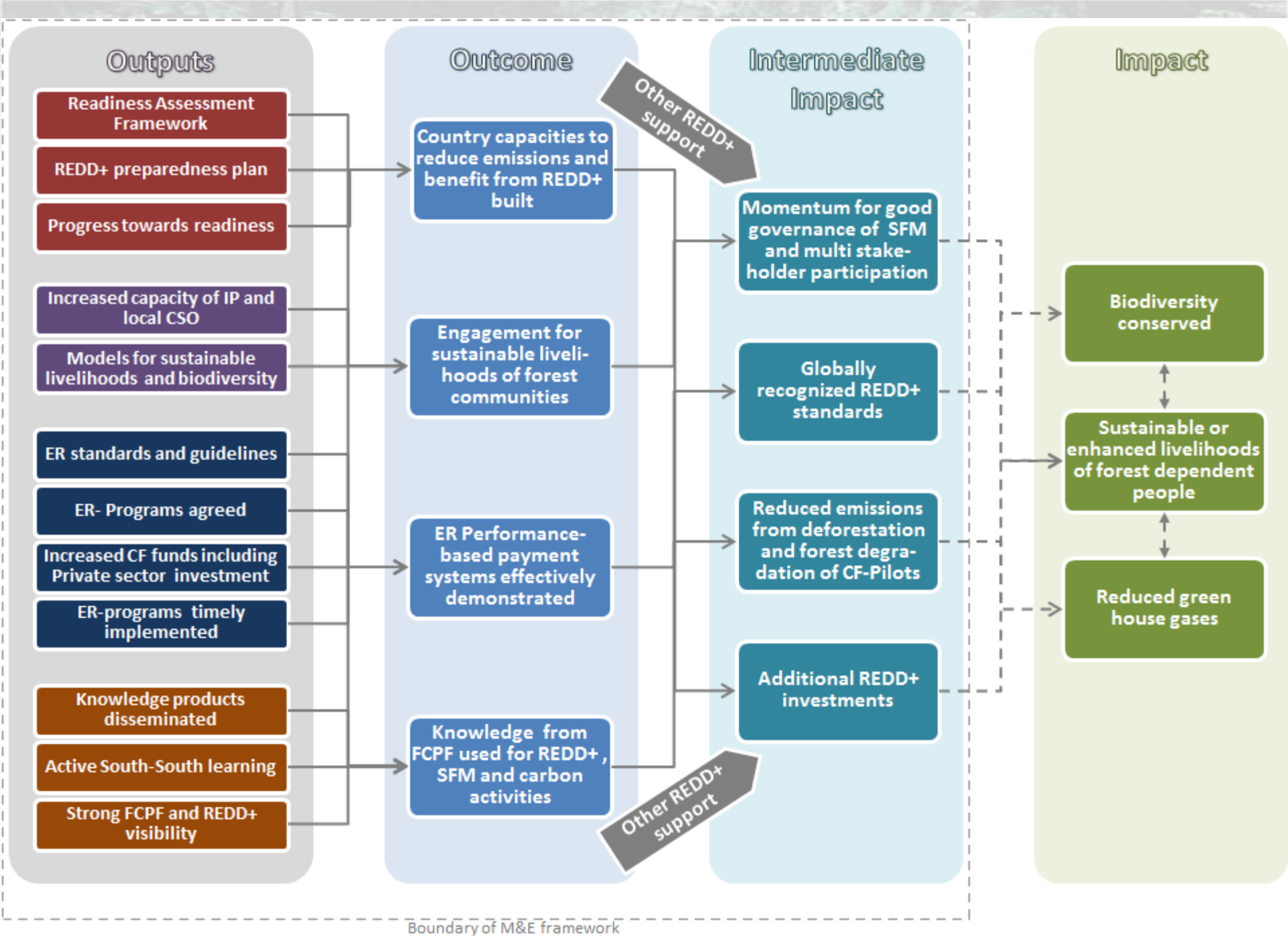
- 1. Background on FCPF M&E framework**
- 2. Process for submission of a Mid-Term Progress Report**
- 3. Process for requesting additional funding of up to US \$5 million**

# Conceptual basis and scope of framework



# The Result Logic

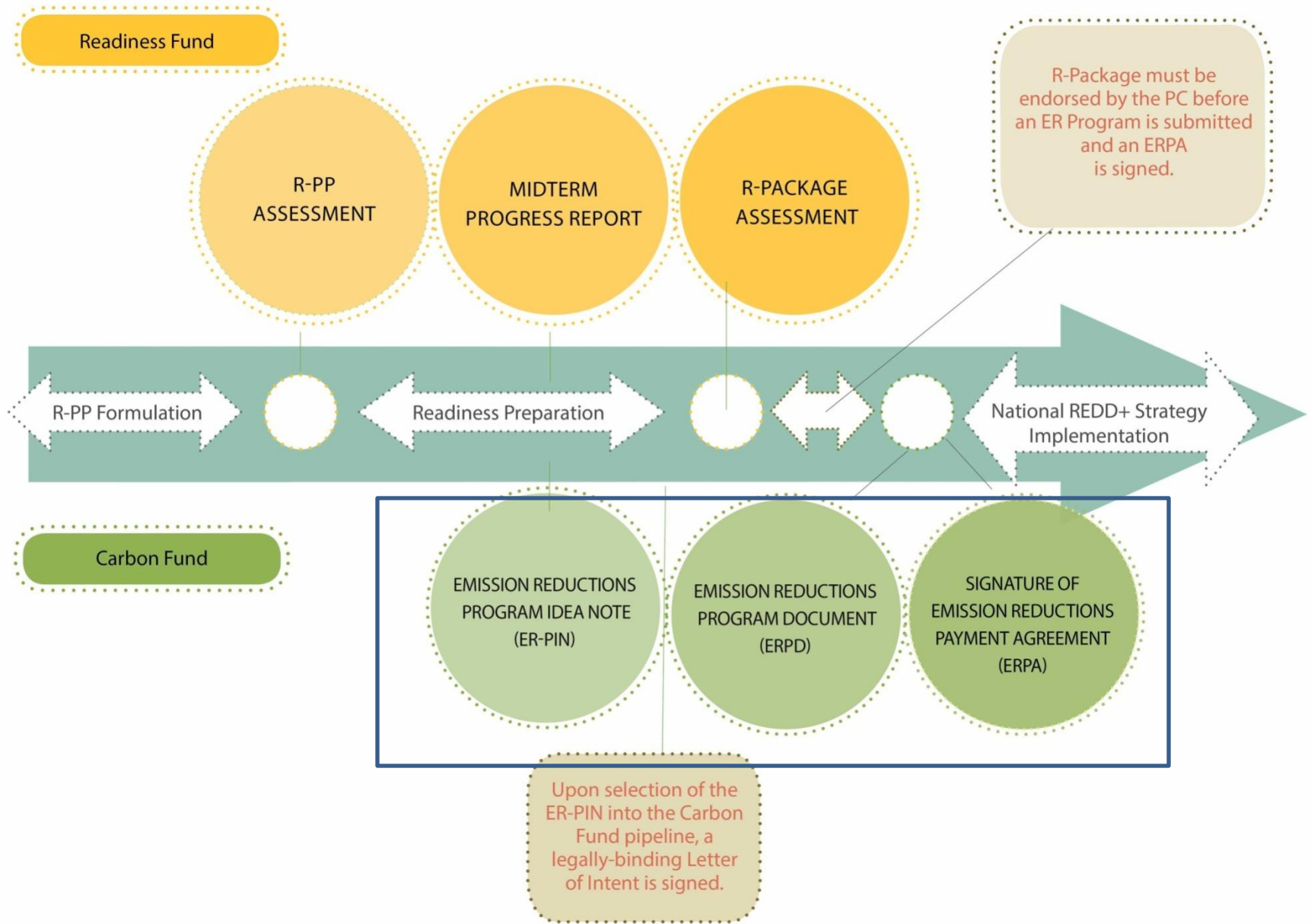




# Presentation Outline

1. Background on FCPF M&E framework
2. Process for submission of a Mid-Term Progress Report
3. Process for requesting additional funding of up to US \$5 million

# Readiness Fund and Carbon Fund Milestones



# Mid-term progress reports: Background

- FCPF Charter requires a REDD Country Participant to report to the PC on progress made in implementation of the R-PP
- PC/7/2010/3 (on enhancing grant disbursement) specifies a mid-term progress report to the PC
  - Intention was to monitor progress in use of FCPF funds, and in implementation of R-PP



# Countries report progress on same Readiness elements over time

1. Readiness Organization and Consultation
  - 1a. National REDD Management Arrangements
  - 1b. Consultation, Participation, and Outreach
2. REDD+ Strategy Preparation
  - 2a. Assessment of Land Use, Land-Use Change Drivers, Forest Law, Policy and Governance
  - 2b. REDD+ Strategy Options
  - 2c. Implementation Framework
  - 2d. Social and Environmental Impacts
3. Reference Emissions Level/Reference Level
4. Monitoring Systems for Forests and Safeguards
  - 4a. National Forest Monitoring System
  - 4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards



# R-PP → Mid-term progress report → Readiness Package

- **Mid-term progress report:**
  - based on Country's self-assessment of REDD+ Readiness process
  - draws on information generated through Country's monitoring and evaluation system of the national Readiness program (per R-PP component 6).
  - may be complemented by other sources of information, such as Delivery Partner's visits to Country and/or external assessments if available.
  - is an important step leading to the Readiness Package.
- **Timing: "Midway" through \$3.8 million Readiness Preparation grant, as per timetable agreed with and in coordination with Delivery Partner**
  - **Not necessarily "midway" in Readiness process, so countries are at different points**
  - Not a decision point, but rather stocktaking
  - Also used by Delivery Partner as part of grant monitoring

# Process for mid-term progress report

Country prepares mid-term progress report

Country submits mid-term progress report to FMT eight weeks prior to PC meeting.

Delivery Partner submits to FMT its mid-term grant monitoring report (or equivalent).

FMT verifies mid-term progress report complete; liaises with Country to achieve completeness

FMT posts mid-term progress report and mid-term grant monitoring report on website and forwards to PC at least six weeks prior to PC meeting

Country presents mid-term progress report at PC meeting. PC gives feedback, may document recommendations. No PC decision.

# Format for Mid-term progress report

- **REDD Country Participant:**
  1. summarizes overall progress,
  2. reports on progress to date in implementing the four main components and corresponding sub-components of the R-PP as outlined in Annex 1, against the original schedule,
  3. provides analysis of this progress, including identifying gaps that need to be addressed,
  4. provides an updated budget (on the basis of the R-PP component 5 that included the original overall budget for national Readiness), and
  5. highlights the Readiness components that were supported with FCPF financing.

# Basis of PC's review of progress = Country's report + Delivery Partner's report + others

- Country's mid-term progress report and Delivery Partner's mid-term grant monitoring report (or equivalent) posted on FCPF website and forwarded to PC
- Other information as available, e.g., external reviews, feedback from stakeholders, etc.
- Together form the basis of the PC's review of progress
- PC gives feedback, may document recommendations. No PC decision.

# Presentation Outline

1. Background on FCPF M&E framework
2. Process for submission of a Mid-Term Progress Report
3. Process for requesting additional funding of up to US \$5 million

# Additional funding of up to \$5 million: Background

- Recognizing:
  - some REDD Country Participants have made significant progress towards REDD+ readiness
  - need to provide additional funding to encourage further progress on readiness preparation
- Resolution PC/10/2011/1.rev enables additional funding of up to US\$5 million to REDD Country Participants that meet criteria:
  1. **PC's consideration of the mid-term progress report provided by the REDD Country Participant to the PC recognizes significant achievement of progress;**
  2. REDD Country Participant's **commitment of at least 50%** of the Formulation/Readiness Preparation Grant(s) at the time of the request;
  3. **Additional funding shall be used to support activities consistent with the endorsed R-PP**, to be agreed with the relevant DP, taking into account countries' needs;
  4. REDD Country Participants will submit a proposal for this additional funding **in accordance with a process to be agreed by the PC by PC 13**; and
  5. Allocation of additional funding to a REDD Country Participant that meets the conditions above shall be approved, subject to availability of resources in the Readiness Fund.

# Proposed process: Additional steps

Country prepares mid-term progress report

+

Outlines additional funding requested of FCPF

Country submits mid-term progress report to FMT eight weeks prior to PC meeting.

Delivery Partner submits to FMT its mid-term grant monitoring report (or equivalent).

FMT verifies mid-term progress report complete; liaises with Country to achieve completeness

FMT posts mid-term progress report and mid-term grant monitoring report on website and forwards to PC at least six weeks prior to PC meeting

Country presents mid-term progress report at PC meeting. PC gives feedback, may document recommendations. PC decides on additional allocation.

Delivery Partner undertakes due diligence to enter into/amend grant agreement



# Additional steps for Countries requesting additional funding

- Follows same mid-term progress report process, plus:
  - Mid-term progress report includes outline of additional funding requested to implement outstanding activities (criteria 3)
    - all mid-term progress reports include update on budget/expenditures (criteria 2)
  - PC decides whether to allocate the requested additional funding to the Country (criteria 1)
    - DP's grant monitoring report provides assessment of progress
  - Delivery Partner undertakes due diligence to enter into new grant agreement or amend existing grant agreement



THANK YOU!

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